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Atty. Docket No. GB920000047US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Alan P. Stevens  
Serial No.: 09/631,224  
Filed: August 2, 2000  
For: Performance Profiling Tool  
Group: 2126  
Examiner: Ho, The T

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Commissioner for Patents  
FAX Number 703-872-9306

**CERTIFICATION OF FACSIMILE TRANSMISSION**

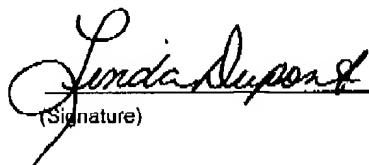
I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office at (703) 872-9306 on the date shown below:

1. A copy of Notice of Non-Compliant Amendment; and
2. A corrected copy of Changes in the Claims

Total pages to follow: 7

Date: Oct. 1, 2004

Linda Dupont  
(Name of person signing certification)

  
(Signature)



## UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NO.                  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|----------------------------------|-------------|----------------------|---------------------|------------------|
| 09/631,224                       | 08/02/2000  | Alan P. Stevens      | GB9-2000-0047-US1   | 9117             |
| 25259                            | 7590        | 09/27/2004           |                     |                  |
| IBM CORPORATION - <i>6AW</i>     |             |                      | EXAMINER            |                  |
| 3039 CORNWALLIS RD.              |             |                      | HO, THE T           |                  |
| DEPT. T81 / B503, PO BOX 12195   |             |                      |                     |                  |
| RESEARCH TRIANGLE PARK, NC 27709 |             |                      | ART UNIT            | PAPER NUMBER     |
|                                  |             |                      | 2126                |                  |

DATE MAILED: 09/27/2004

Please find below and/or attached an Office communication concerning this application or proceeding.



## UNITED STATES PATENT AND TRADEMARK OFFICE

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## Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment document filed on 7-21-04 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121. In order for the amendment document to be compliant, correction of the following item(s) is required. Only the corrected section of the non-compliant amendment document must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted. 37 CFR 1.121(h).

THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

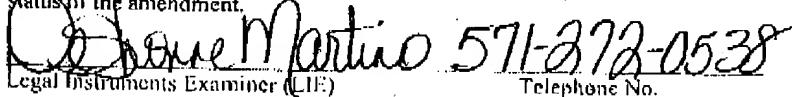
- 1. Amendments to the specification:
  - A. Amended paragraph(s) do not include markings.
  - B. New paragraph(s) should not be underlined.
  - C. Other \_\_\_\_\_
- 2. Abstract:
  - A. Not presented on a separate sheet. 37 CFR 1.72.
  - B. Other \_\_\_\_\_
- 3. Amendments to the drawings:
- 4. Amendments to the claims:
  - A. A complete listing of all of the claims is not present.
  - B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).
  - D. The claims of this amendment paper have not been presented in ascending numerical order.
  - E. Other: Please correct status identifiers (claims 22-25).

 For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dump/opsa/preonnotice/officelver.pdf>.

If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given ONE MONTH from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this **ONE MONTH** time limit is not extendable.

 If the non-compliant amendment is a reply to a **NON-FINAL OFFICE ACTION** (including a submission for an RCE), and since the amendment appears to be a *bona fide* attempt to be a reply (37 CFR 1.135(c)), applicant is given a **TIME PERIOD** of ONE MONTH from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).**

If the amendment is a reply to a **FINAL REJECTION**, this form may be an attachment to an **Advisory Action**. The period for response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliant status of the amendment.

  
 Legal Instruments Examiner (LIE) Telephone No.